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PATENT  
ATTY. DOCKET NO.: CIT1620-1

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHODS AND COMPOSITIONS FOR THE GENERATION OF HUMANIZED MICE**, the specification of which

\_\_\_\_\_ is attached hereto.

X was filed on September 9, 2003 (Attorney Docket No. CIT1620-1)  
as U.S. Application Serial No. 10/659,034

was amended on \_\_\_\_\_ if applicable (the "Application").

**I hereby authorize and request insertion of the application serial number of the Application when officially known.**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, Code of Federal Regulations ("C.F.R."), § 1.56.

In the Application of  
Hiroaki Shizuya  
Serial No.: Not Yet Assigned  
Filed: September 9, 2003

PATENT  
Attorney Docket No.: CIT1620-1

With respect to the Application, I hereby claim the benefit under 35 U.S.C.  
Section 119(e) of any United States provisional application(s) listed below:

<u>60/409,631</u>	<u>September 9, 2002</u>
(Application Serial No.)	(Filing Date)

_____	_____
(Application Serial No.)	(Filing Date)

With respect to the Application, I hereby claim the benefit under 35 U.S.C.  
Section 120 of any United States application(s), or Section 365(c) of any PCT International  
application designating the United States, listed below and, insofar as the subject matter of  
each of the claims of the application is not disclosed in the prior United States or PCT  
International application in the manner provided by the first paragraph of 35 U.S.C. Section  
112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all  
information known to me to be material to patentability of the subject matter of the  
Application as defined in Title 37, C.F.R., Section 1.56 which became available between the  
filing date of the prior application and the national or PCT International filing date of the  
Application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby claim foreign priority benefits under Title 35, United States Code,  
§ 119 of any foreign application(s) for patent or inventor's certificate or of any PCT

In the Application of  
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international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

COUNTRY	APPLICATION NO.	FILING DATE	PRIORITY CLAIMED	
			Yes	No
_____	_____	_____		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Hiroaki Shizuya

Inventor's signature: *Hiroaki Shizuya*

Date: \_\_\_\_\_

Residence: 1632 Indiana Avenue, South Pasadena, California 91030

Citizenship: Japan

Post Office Address: 1632 Indiana Avenue, South Pasadena, California 91030

PATENT  
ATTORNEY DOCKET NO.: CIT1620-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Hiroaki Shizuya	Art Unit:	Not Yet Assigned
Application No.:	Not Yet Assigned	Examiner:	Not Yet Assigned
Filed:	September 9, 2003		
Title:	METHODS AND COMPOSITIONS FOR THE GENERATION OF HUMANIZED MICE		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **POWER OF ATTORNEY BY ASSIGNEE**

As a below-named assignee of the above-identified application ("Application"):

I hereby appoint the following attorneys of the assignee to prosecute the Application and to transact all business in the United States Patent and Trademark Office connected therewith:

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In re Application of:  
Hiroaki Shizuya  
Application No.:  
Filed: September 9, 2003  
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PATENT  
Attorney Docket No.: CIT1620-1

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I hereby authorize and request insertion of the application number of the Application  
when officially known.

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**CALIFORNIA INSTITUTE OF TECHNOLOGY**

By: \_\_\_\_\_



Name: \_\_\_\_\_

**ADAM COCHRAN**  
**THE INTELLECTUAL PROPERTY COUNSEL**  
**CALIFORNIA INSTITUTE OF TECHNOLOGY**

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**SEP 29 2003**